Article - Discoveries

Horizontal gene transfer and fusion spread carotenogenesis among diverse heterotrophic protists

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## 1 Keywords

- 2 Phytoene synthase; phytoene desaturase; lycopene cyclase; carotenoid oxygenase;
- 3 phylogenetics; thraustochytrids

## 4 Significance Statement

5 Although most organisms capable of carotenoid biosynthesis are phototrophic, some

- 6 non-phototrophic eukaryotes have gained, by horizontal gene transfer, the capacity to
- 7 synthesize carotenoids. This study examines the evolutionary origins of carotenoid biosynthesis
- 8 proteins in one such group and discovers a set of related proteins in surprisingly diverse
- 9 eukaryotic lineages including thraustochytrids, dinoflagellates, and apusomonads. In addition to
- 10 uncovering a novel origin of eukaryotic carotenoid biosynthesis, this research reveals that

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repeated horizontal gene transfer enabled the parallel evolution of carotenoid biosynthesis in
 heterotrophic protists.

#### 3 Abstract

4 Thraustochytrids (phylum: Labyrinthulomycota) are non-photosynthetic marine protists. Some thraustochytrids have *crtIBY*, a trifunctional fusion gene encoding a protein capable of β-5 carotene biosynthesis from geranylgeranyl pyrophosphate. Here we show that crt/BY is 6 7 essential in, and encodes the sole pathway for, carotenoid biosynthesis in the thraustochytrid 8 Aurantiochytrium limacinum ATCC MYA-1381. We explore the evolutionary origins of CrtIBY 9 and discover that the closest related protein domains are present in a small but diverse group of other heterotrophic protists, including the apusomonad Thecamonas trahens and the 10 dinoflagellates Oxyrrhis marina and Noctiluca scintillans. Each organism within this cluster also 11 contains one or more  $\beta$ -carotene 15-15' oxygenase genes (*blh* and *rpe65*), suggesting that 12 13 acquisition of  $\beta$ -carotene biosynthesis genes may have been related to the production of retinal. 14 Our findings support a novel origin of eukaryotic (apo)carotenoid biosynthesis by horizontal gene transfer from Actinobacteria, Bacteroidetes, and/or Archaea. This reveals a remarkable 15 16 case of parallel evolution of eukaryotic (apo)carotenogenesis in divergent protistan lineages by 17 repeated gene transfers.

#### 18 Introduction

Carotenoids are a class of over 1200 mainly yellow, orange, or red fat-soluble natural 19 isoprenoid pigments characterized by a rigid conjugated hydrocarbon backbone. Key functions 20 21 of carotenoids are their ability to quench free radicals, thereby acting as antioxidants (Britton 22 1995; Fiedor et al. 2005), and their role as precursors of apocarotenoids such as retinal, the 23 chromophore for opsin proteins (Spudich et al. 2000). Carotenoids are universally present in 24 photoautotrophs (Hirschberg et al. 1997) and are also found in some non-photosynthetic bacteria, archaea, and eukaryotes (Britton 1995). Production of  $\beta$ -carotene (C<sub>40</sub>) from two 25 26 geranylgeranyl pyrophosphate (GGPP;  $C_{20}$ ) molecules minimally requires the activity of three 27 enzymes: phytoene synthase (CrtB), phytoene desaturase (CrtI), and lycopene cyclase (CrtY or 28 **OrtYc/CrtYd**) (Figure 1A). Alternative enzymes for  $\beta$ -carotene synthesis from GGPP, which are 29 specific to organisms with current or past photosynthetic capacity, include several isomerases involved in the conversion from phytoene to lycopene (Figure 1B). 30

Phylogenetic analysis of CrtB, CrtI, and CrtYc/d protein sequences suggests that
 carotenoid biosynthesis is an ancient process, one that in bacteria has been impacted by

1 extensive horizontal gene transfer (HGT) (Klassen 2010). The origin of carotenoid biosynthesis 2 in many oxygenic phototrophic eukaryotes is associated with endosymbiotic gene transfer 3 during acquisition of a plastid (Coesel et al. 2008, Frommolt et al. 2008). In contrast, carotenoid biosynthesis in non-photosynthetic (i.e., heterotrophic) eukaryotes such as the fungi 4 Xanthophyllomyces dendrorhous (Phaffia rhodozyma) (Andrews and Starr 1976; Verdoes et al. 5 1999) and Rhodotorula spp. (Nakayama et al. 1954) is suggestive of carotenogenesis 6 7 acquisition via an ancient HGT event (Sandmann 2002). Similarly, arthropod lineages (pea 8 aphids, adelgids, gall midges, spider mites, chiggers, and velvet mites) acquired 9 carotenogenesis via three independent HGT events from fungi (Altincicek et al. 2012, Cobbs et 10 al. 2013, Novakova and Moran 2012).

Among heterotrophic Stramenopila, carotenoid production occurs in the basal-branching 11 and ecologically fungus-like thraustochytrids (phylum: Labyrinthulomycota) (Galasso et al. 12 13 2017). Recent work in the thraustochytrid Aurantiochytrium sp. strain KH105 revealed a trifunctional carotenogenic fusion gene (*crtIBY*) that by itself confers the ability to produce β-14 carotene when introduced in yeast (Iwasaka et al. 2018). crt/BY is also found in other 15 thraustochytrids, including Aurantiochytrium sp. FCC1311 and T66, Schizochytrium sp. CCTCC 16 M209059, Thraustochytrium sp. ATCC 26185 (Iwasaka et al. 2018), and Aurantiochytrium 17 18 *limacinum* ATCC MYA-1381 (this study). Neither the selective advantage nor the evolutionary 19 origin of carotenoid biosynthesis in thraustochytrids is clearly understood. Thraustochytrids are 20 the only known heterotrophic carotenogenic stramenopiles, although the distantly related crown group of photosynthetic stramenopiles, the Ochrophyta, also produce carotenoids. 21

22 Here we show that inactivation of crt/BY in Aurantiochytrium limacinum ATCC MYA-1381 results in the loss of carotenoid production, revealing that crt/BY is the sole 23 24 carotenogenesis pathway in the organism. We describe the phylogenies of six carotenoid biosynthesis domains, including the three domains in CrtIBY, two  $\beta$ -carotene cleavage genes, 25 26 and an alternate lycopene cyclase. An unexpected cluster of unrelated non-photosynthetic 27 eukaryotes was identified in phylogenies of four of the six protein domains, indicating that 28 (apo)carotenoid biosynthesis in this diverse assemblage represents a dramatic case of parallel 29 evolution by repeated HGT.

30

31

#### 1 Results

2 The crtIBY fusion gene encodes the carotenogenesis pathway in A. limacinum

3 Wild-type (WT) Aurantiochytrium limacinum ATCC MYA-1381 (henceforth A. limacinum) 4 colonies produce a marked orange pigmentation when grown in rich media (Figure 2A). Using 5 homology searches, we identified the putative trifunctional carotenogenic gene *crt/BY* in the 6 complete genome of A. limacinum and targeted it for genetic knockout by double homologous 7 recombination. We recovered stable zeocin-resistant colonies after electroporation with a 8 construct replacing part of the *crtIBY* coding region with a zeocin resistance gene (*shble*) 9 expression cassette (Figure S1). Several of these colonies were stark white, in contrast to orange wild-type colonies, as expected for successful inactivation of carotenoid biosynthesis 10 (streaked colonies 32 and 33 in Figure 2A). In addition, these colonies lacked 11 spectrophotometrically detectable carotenoids (Figure S2A) and maintained similar growth 12 rates to wild-type A. limacinum (Figure S2B). In both isolates (32 and 33), inactivation of the 13 crt/BY locus had occurred by integration of shble, as confirmed by PCR (Figure S3A-C), 14 Southern blotting (Figure S3D-E), and Oxford Nanopore long-read DNA sequencing of the 15 complete genome of the knockouts (Figure 2B). The two knockouts differed in the nature of the 16 integration: isolate 32 underwent a simple double homologous recombination replacement 17 event, while colony 33 underwent a triple tandem repeat integration event. Although we did not 18 19 complement, the presence of multiple colonies with consistent phenotypes and no apparent alternate modifications to the genomes (based on Nanopore sequence data) suggests that no 20 21 other genes are involved in the resulting phenotype. Together, these results confirm that crt/BY 22 is necessary for carotenoid biosynthesis in A. limacinum and indicate that no alternative 23 carotenoid biosynthesis pathway is present.

24 We used BLAST to perform a preliminary search of the GenBank nonredundant (nr) 25 database for proteins related to CrtIBY. We only found the CrtIBY multi-domain structure in 26 other thraustochytrids and in *Thecamonas trahens*, which belongs to the unrelated eukaryotic 27 lineage Apusomonadidae (Figure 3A). T. trahens contains a protein with the three carotenoid 28 biosynthesis domains (Crtl, CrtB, CrtYc/d from N- to C-terminus) plus a fourth (C-terminal) 29 domain, which is not found in thraustochytrids (including *A. limacinum*), corresponding to the β-30 carotene 15-15' oxygenase (Blh) protein family which is involved in the oxidative cleavage of  $\beta$ -31 carotene to form the apocarotenoid retinal (Figure 3B). To further investigate this unexpectedly disjunct taxonomic distribution, we carried out phylogenetic analyses independently on each of
 the three domains in CrtIBY as well as the Blh domain.

# 3 Four (apo)carotenoid biosynthetic enzymes reveal an unexpected alliance between

- 4 thraustochytrids and select other diverse eukaryotes
- 5 For each of the four (apo)carotenoid biosynthesis domains CrtB, CrtI, CrtYc/d, and Blh,
- 6 we observed a common phylogenetic pattern: several diverse eukaryotes consistently grouped
- 7 together. We call this polyphyletic group SAHNTO (Schizochytrium aggregatum,
- 8 Aurantiochytrium limacinum, Hondaea fermentalgiana, Noctiluca scintillans, Thecamonas
- 9 trahens, Oxyrrhis marina). Each of the carotenoid biosynthesis domains of SAHNTO was sister
- 10 to homologous domains from lineages of Actinobacteria, Bacteroidetes, or Archaea.
- 11 Phytoene synthase in SAHNTO
- 12 Phytoene synthase (CrtB) catalyzes the first committed step of C<sub>40</sub> carotenoid
- 13 biosynthesis: the head-to-head condensation of two GGPP molecules to produce phytoene. The
- related CrtM and HpnD enzymes catalyze the analogous condensation of two farnesyl
- 15 pyrophosphate (FPP;  $C_{15}$ ) molecules in the synthesis of  $C_{30}$  carotenoids or hopanoid lipids,
- 16 respectively (Figure 1A). We identified four CrtB-containing lineages (as previously reported in
- 17 Klassen 2010): (I) Proteobacteria and fungi, (II) Firmicutes, (III) Actinobacteria, Bacteroidetes,
- and Archaea (herein referred to as ABA), and (IV) oxygenic phototrophs, including the
- 19 Ochrophyta (photosynthetic stramenopiles) and Cyanobacteria (**Figure 4A**; **Figure S4**).
- 20 Additionally present were two unexpectedly placed subgroups of photosynthetic eukaryotes
- including a group of dinoflagellates sister to the Firmicutes and a group of cryptophytes within
- 22 the Proteobacteria/ fungi lineage.

23 Rather than grouping with its closest relatives (photosynthetic Ochrophyta), 24 thraustochytrid CrtB (S. aggregatum, A. limacinum, H. fermentalgiana) branched in the SAHNTO cluster with sequences from two non-photosynthetic dinoflagellates, O. marina and N. 25 scintillans, and with T. trahens (Apusomonadidae) with maximum support (SH-aLRT/UF 26 27 bootstrap support: 100/100; Figure 5A). Thraustochytrids, dinoflagellates, and apusomonads 28 are taxonomically unrelated to one another (Strassert et al. 2021) and are not united by any 29 other obvious traits. The SAHNTO CrtB group was nested within the ABA CrtB lineage, and 30 specifically within a subset of the Actinobacteria CrtB lineages including representatives from Propionibacteriales, Micrococcales, and Nakamurellales (Figure 5A). 31

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Like *A. limacinum*, CrtB in the thraustochytrids *S. aggregatum* and *H. fermentalgiana* was encoded in a trifunctional fusion gene (**Figure 3B**) (see corrected *H. fermentalgiana* gene model in **Supplementary Material**). In contrast, *N. scintillans* CrtB was encoded along with CrtYc/d in a bifunctional fusion gene, while CrtB in *O. marina* appeared to be encoded by a stand-alone gene (**Figure 3B**).

6 Phytoene desaturase in SAHNTO

Phytoene desaturase (CrtI and related proteins) is involved in the desaturation of
phytoene into lycopene (Figure 1A). In fungi and non-photosynthetic bacteria, CrtI also
performs isomerization. In phototrophic eukaryotes, homologs Z-ISO and CrtISO isomerize
zeta-carotene to form lycopene (Sandmann 2002) (Figure 1B). Cyanobacteria have a similar
CrtI-related isomerase, CrtH.

Similar to CrtB (phytoene synthase), our phylogenetic analysis of CrtI (**Figure 4B**; **Figure S5**) placed most phototrophic eukaryotes (including ochrophytes) in a single group, excluding a cluster of cryptophytes that grouped within the ABA lineage. In contrast to the CrtB phylogeny (although consistent with Klassen 2010), the fungal clade (and metazoans within) branched sister to the photosynthetic eukaryotes rather than grouping with proteobacteria. And conversely, cyanobacteria, rather than grouping with the phototrophic eukaryotes, grouped within the proteobacterial clade.

Strikingly, the Crtl phylogeny again revealed a SAHNTO cluster (100/100) consisting of
the same group of diverse species (*S. aggregatum, A. limacinum, H. fermentalgiana, O. marina, N. scintillans,* and *T. trahens*) nested within the ABA lineage. The SAHNTO cluster was sister to
a single deltaproteobacterial sequence (suggesting that sequence may be misplaced) and to a
group (n=76) of Bacteroidetes, including a large number (n=69) of Chitinophagia,
Sphingobacteria, Cytophagia, and Flavobacteria (Figure 5B). This group also included a few

representatives (n=3) from other bacterial lineages, as well as the aforementioned cryptophyte
cluster.

As was the case in *A. limacinum*, Crtl in the thraustochytrids *S. aggregatum* and *H. fermentalgiana* was encoded in a trifunctional fusion gene (**Figure 3B**). In contrast, *N. scintillans* Crtl was encoded in a bifunctional fusion gene with CrtYc/d; this is different from the previously mentioned bifunctional fusion gene encoding CrtB with CrtYc/d. In *O. marina* Crtl appeared to be encoded in a stand-alone gene (**Figure 3B**). Lycopene cyclase converts the linear lycopene molecule into the first cyclic carotenoid:
β-carotene (Figure 1A). Independent evolutionary origins of the lycopene cyclase function
include CrtY/ CrtL, CruP/ CruA/ CruB, and the heterodimeric CrtYc/d studied here. CrtYc/d is
not common in phototrophic eukaryotes (Figure 1B), Proteobacteria, or Firmicutes, but was
found in many members of the ABA lineage (Figure 4C, Figure S6).

7 Notably, the phylogeny of CrtYc/d again revealed a SAHNTO-like cluster (99.6/99) containing the thraustochytrids A. limacinum and S. aggregatum (further analyses revealed H. 8 fermentalgiana does contain CrtYc/d, but the domain was not included in our database because 9 10 of misprediction; see **Supplementary Material**), *T. trahens*, and two distinct CrtYc/d proteins 11 from N. scintillans. However, no copy of CrtYc/d was found in the transcriptomes of O. marina (an alternate lycopene cyclase was detected, see below). This modified SAHNTO group is sister 12 13 to a single Bacteroidetes sequence from the family Rhodothermaceae (Figure 5C). This group is sister to a large cluster including another gene from Rhodothermaceae, two cyanobacterial 14 15 homologs, four Deinococci sequences, and a proteobacterial sequence. In addition, this sister group includes nine archaean halobacterial sequences, as well as eukaryotic representatives 16 17 including four arthropod, two amoebazoan, a green alga, four cryptophyte, and 42 fungal 18 sequences.

Like *A. limacinum*, CrtYc/d in the thraustochytrids *S. aggregatum* and *H. fermentalgiana* was encoded in a trifunctional fusion gene (**Figure 3B**). In contrast, *N. scintillans* had two distinct CrtYc/d-coding genes, each one encoded a bifunctional fusion protein; one also includes CrtB, and the other includes CrtI. CrtYc/d was not detected in *O. marina*, and the relative similarity of the degree of completeness in *N. scintillans* and in *O. marina* transcriptomes (81.2% and 79.5% BUSCO, respectively; see **Supplementary Material**) is consistent with the interpretation that *O. marina* lacks *crtYc/d*.

CrtY/ CrtL/ LCY-b is a lycopene cyclase found primarily in phototrophic eukaryotes
(Figure S7). Some Actinobacteria, Cyanobacteria, and Proteobacteria also contain CrtY, but
this lycopene cyclase domain was not detected in Archaea. *O. marina*, the only representative
of SAHNTO with CrtY (present as a stand-alone gene, Figure 3B), was sister to the branch
containing the phototrophs and actinobacterial/ cyanobacterial clades.

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## 1 β-carotene oxygenase in *Thecamonas trahens* and Oxyrrhis marina

2  $\beta$ -carotene 15-15' oxygenase (Blh; PF15416) is involved in the oxidative cleavage of  $\beta$ carotene to produce the apocarotenoid retinal. In our BLAST search, we identified Blh as a 3 fourth (C-terminal) domain in the same T. trahens protein containing CrtB, CrtI, and CrtYc/d 4 5 domains. Blh was also detected in O. marina but was not found in any other SAHNTO members 6 (i.e., A. limacinum, S. aggregatum, H. fermentalgiana, and N. scintillans). In our phylogeny of 7 Blh, a single-domain protein from O. marina (Figure 3B) was resolved as sister (98.4/100) to the T. trahens Blh domain (Figure 4D, Figure S8). The T. trahens and O. marina Blh domains 8 9 were sister to a diverse cluster of sequences from Planctomycetes, Proteobacteria, Actinobacteria, and Rhodothermaeota (Bacteroidetes), as well as a group of 12 halobacteria 10 (Archaea) sequences (Figure 5D). Outside of this sister group, Blh domains were also present 11 in a cluster of several phototrophic eukaryotes, including cryptophytes and dinoflagellates, as 12 13 well as fungi, an arthropod, and an amoebozoan.

An alternative β-carotene 15,15'-oxygenase protein family producing retinal from β carotene is Rpe65 (PF03055). Of all the domains analyzed here, several phyla were found to
 contain only Rpe65. The Rpe65 phylogeny revealed separate clades of dinoflagellates, fungi,
 metazoans, and a diverse clade of bacterial and archaeal sequences (Figure S9). The
 consistent ABA lineage present in the CrtB and CrtI phylogenies was not observed in the Rpe65
 phylogeny.

20 Five of the six SAHNTO members (all but T. trahens) were found to have at least one 21 Rpe65 (all single domain proteins), but these SAHNTO Rpe65 proteins did not group together in our phylogeny (Figure S9). The Rpe65 from N. scintillans and (one of two from) O. marina were 22 23 found in the predominantly dinoflagellate clade (both species are dinoflagellates). The 24 thraustochytrids A. limacinum and H. fermentalgiana each had two Rpe65 proteins and S. 25 aggregatum had one. A clade of labyrinthulomycete Rpe65s contained thraustochytrid sequences (including Aurli31778, A0A2R5GWF6, and Schag89143, respectively) and other 26 27 labyrinthulomycete sequences (Aplanochytrium stocchinoi and Thraustochytrium sp. LLF1b); this group was sister (98/100) to a cryptophyte (Geminigera cryophila, CAMPEP0173101080) 28 29 and a haptophyte sequence (Emiliania huxleyi, CAMPEP0182160074). A second 30 thraustochytrid clade of Rpe65s (including Aurli33046 and A0A2R5G3F8) grouped (95.3/100) 31 with an assortment of eukaryotes, many phototrophic, including dinoflagellates,

32 chlorarachniophytes, and a haptophyte, among others.

#### 1 Discussion

2 We have shown that the polyphyletic SAHNTO group (S. aggregatum, A. limacinum, H. 3 fermentalgiana, N. scintillans, T. trahens, and O. marina) clusters together with strong bootstrap 4 support in independent phylogenies of each of the four (apo)carotenoid biosynthesis domains 5 CrtB, CrtI, CrtYc/d, and Blh. We conclude from this that the four (apo)carotenoid biosynthetic genes (crtB, crtI, crtYc/d, blh) were introduced by repeated horizontal gene transfer (HGT) 6 7 events from the same or similar donor(s) into these diverse lineages. These findings suggest a 8 unique case of repeated HGTs enabling parallel evolution of (apo)carotenoid biosynthesis 9 across the divergent protistan lineages of dinoflagellates, thraustochytrids, and apusomonads. Repeated HGT has been observed previously as a mechanism underlying parallel evolution that 10 11 resulted in the presence of magnetotaxis across divergent lineages of alphaproteobacteria (Monteil et al. 2020). This study, similarly, reveals parallel evolution of (apo)carotenoid 12

13 biosynthesis across distant eukaryotic lineages via repeated HGT.

Parallel evolution is when lineages independently evolve a similar genetic change in 14 response to a similar selective pressure (Zhang and Kumar 1997). In the case described here, 15 parallel evolution is indicated by the independent acquisition of the same genetic machinery 16 (CrtIBY and Blh) from the same ancestral condition (lack of (apo)carotenoid biosynthesis) in 17 response to some unknown selective pressure favoring (apo)carotenoid production. The 18 repeated evolution of (apo)carotenoid biosynthesis may also reflect a similar evolutionary 19 20 solution to more than one selective pressure, made possible by the functional versatility 21 (pleiotropy) of carotenoid production. Carotenoids in the cell can be involved in a variety of 22 functions including oxidative stress response activities (ROS quenching, free radical 23 scavenging, protection from lipid peroxidation) (Britton 1995) and production of apocarotenoid 24 precursors for rhodopsin-mediated light-dependent activities (phototaxis, transport of ions across membranes) (Spudich et al. 2000). In SAHNTO, the function of carotenoids is not yet 25 26 clear, although differences in the gene organization of (apo)carotenoid biosynthesis suggest 27 possible differences in function among the different carotenoid-producing SAHNTO taxa. The 28 Rosetta Stone hypothesis, which posits that gene fusions may serve as indicators of protein 29 interactions (Marcotte et al. 1999), would imply a functional difference between the 30 quadrifunctional fusion gene of T. trahens (crtl, crtB, crtYc/d, blh) and the trifunctional fusion 31 gene of thraustochytrids (*crtl, crtB, crtYc/d*): while thraustochytrids may accumulate  $\beta$ -carotene 32 (as the product of CrtIBY), *T. trahens* may cleave β-carotene directly to produce retinal. 33 Consistent with this, numerous thraustochytrids are known to accumulate carotenoids (Valadon

1 1976, Carmona et al. 2003, Aki et al. 2003, Yamaoka et al. 2004, Arafiles et al. 2014, Armenta 2 et al. 2006, Burja et al. 2006, Fan et al. 2009, Quilodran et al. 2010, Atienza et al. 2012, Gupta 3 et al. 2013, Singh et al. 2015, Zhang et al. 2017, Iwasaka et al. 2018, Park et al. 2018, Jiang et al. 2020, Nham Tran et al. 2020, Leyton et al. 2021), but we could find no evidence of pigment 4 accumulation in T. trahens (Droop 1953, Larsen and Patterson 1990, Cavalier-Smith and Chao 5 2010). With regard to the dinoflagellates, carotenoid compounds in O. marina remain 6 7 unidentified, although concentrated cultures of O. marina have a pink pigmentation (Lowe et al. 2011, Jung et al. 2021). Some oceanic N. scintillans blooms are red (red tides) (Harrison et al. 8 9 2011, and references therein), yet their pigmentation is thought to be from xanthophyll and 10 carotene-rich prey (Balch and Haxo 1984, Shaju et al. 2018, Srichandan et al. 2020). Strains of N. scintillans are pale pink in color (Sweeney 1971). 11

The spread of carotenogenesis by HGT has been inferred previously. The halotolerant 12 13 marine Bacteroidetes Salinibacter ruber acquired carotenoid biosynthesis by HGT from Halobacteria (Mongodin et al. 2005), which are found coinhabiting saltern crystallizer ponds all 14 over the world (Antón et al. 2008). Our phylogenies also support the halobacterial origins of S. 15 ruber CrtI and Blh. Another striking example of HGT of carotenoid biosynthesis is observed in 16 17 the arthropods, which acquired carotenogenesis from fungi (Moran and Jarvik 2010, Grbić et al. 2011, Altincicek et al. 2012, Novakova and Moran 2012, Cobbs et al. 2013, Bryon et al. 2017, 18 19 Dong et al. 2018). This HGT event is also evident in our phylogenies, where several arthropods branch within the fungi in the CrtB, CrtI, and CrtYc/d trees. 20

SAHNTO (apo)carotenoid biosynthesis domains repeatedly cluster within the 21 22 Actinobacteria, Bacteroidetes, and Archaea (ABA) group which suggests that ABA was the 23 source of the (apo)carotenoid biosynthesis genes in SAHNTO, revealing a novel origin of 24 carotenoid biosynthesis in eukaryotes. Previously identified eukaryotic phytoene synthases (CrtB) of photosynthetic eukaryotes and fungi are most closely related to cyanobacterial and 25 26 proteobacterial phytoene synthases, respectively, both in our analyses and in previous studies 27 (Klassen 2010), while SAHNTO CrtBs are related to sequences from the ABA group. Previously 28 identified eukaryotic phytoene desaturases (CrtI) from photosynthetic eukaryotes and fungi are 29 most closely related to cyanobacterial (Frommolt et al. 2008) and proteobacterial phytoene 30 desaturases (Klassen 2010), respectively, while we find SAHNTO Crtl to be most closely related 31 to the ABA group. The phylogenetic affinities of SAHNTO CrtYc/d and Blh domains are less 32 obvious, yet an ABA source is plausible, with sister groups of both SAHNTO CrtYc/d and Blh containing a substantial number of halobacterial (Archaea) proteins. 33

1 Despite the macro-phylogenetic consistency of SAHNTO (apo)carotenoid biosynthesis 2 genes grouping together in the ABA lineage, the precise origins of (apo)carotenoid biosynthesis 3 genes in SAHNTO taxa are not obvious. Each of the four SAHNTO carotenogenesis enzymes is most closely related to different taxa in the ABA group: SAHNTO CrtB grouped most closely 4 with Actinobacteria CrtB, while SAHNTO Crtl grouped most closely with Bacteroidetes Crtl, and 5 CrtYc/d and Blh were affiliated with halobacterial genes. Under the hypothesis that a single 6 7 donor lineage was the source of all four (apo)carotenogenic genetic constituents, their sister 8 group differences may represent a lack of phylogenetic signal, which may result from (i) 9 methodological limitations in reconstructing ancient HGT events involving short signal-poor 10 protein domains; (ii) HGT followed by rapid evolution in the new host context, which may further overwrite phylogenetic signal; (iii) gene acquisition from an ABA donor lineage that either has 11 not been sampled or is extinct thus representing a ghost of HGT past (Davis 2005); or (iv) some 12 combination of the above. Alternatively, a 'multiple donors' scenario would entail multiple HGT 13 14 and fusion events to yield the present-day distribution of carotenoid biosynthesis genes in SAHNTO. We must also consider the likelihood that a single prokaryotic (or viral, see below) 15 donor was itself a recipient of genes acquired via HGT from multiple lineages prior to the HGTs 16 into eukaryotes. Regardless, the differences in gene organization within SAHNTO taxa (Figure 17 18 **3B**) suggest that a combination of gene fusion, fission, and rearrangement events occurred 19 following HGT into the genomes of the different SAHNTO lineages.

20 It seems unlikely that acquisition of crtl, crtB, and crtYc/d in a gene-by-gene fashion 21 would have provided any functional advantage at each step, whereas inheritance of a fusion 22 gene coding for the complete biosynthetic pathway (GGPP to β-carotene or, with *blh*, retinal) 23 immediately yields an antioxidative metabolite and/or opsin chromophore. In fact, bacterial 24 (apo)carotenoid biosynthesis genes often exist in an operon, suggesting they could have been 25 transferred as a single unit. For example, several Actinobacteria, including Mycobacterium spp. 26 (Figure S10), Mycolicibacterium spp. (Figure S11), and Nocardia spp. (among others; Figure **S12**) exhibit a *crtl, crtB, crtYc/d* operon structure. Several Halobacteria (e.g., *Haloarcula* spp.; 27 28 Figure S13) have an operon of *crtB, crtYc/d,* and *blh,* with *crtI* elsewhere in the genome. 29 Horizontal operon transfer (HOT), enabling all genes in a pathway to be transferred from a 30 prokaryotic donor to a eukaryotic host in a single event, has been observed between an 31 Escherichia coli relative (donor) and budding yeasts (Lindsey and Newton 2019). The process of "eukaryotification" of the transferred operon may require the evolution of fewer eukaryotic 32 33 promoters, as well as simplify the evolution of transcriptional co-regulation and co-localization of protein products, if gene fusion reduces the number of transcription units (Lindsey and Newton
 2019). Gene fusion following HGT has been seen previously in bacteria and fungi (Nikolaidis et
 al. 2014) and in plants (Yang et al. 2016).

4 SAHNTO species ecology suggests a possible mechanism of HGT for (apo)carotenoid 5 biosynthesis genes. N. scintillans, O. marina, and T. trahens are phagotrophic (Harrison et al. 6 2011, Droop 1953, Larsen and Patterson 1990) and bacterivory has also been reported in 7 thraustochytrids (Raghukumar 1992), providing a mechanism to acquire exogenous DNA. 8 Kleptoplasts have been identified in Noctiluca (Waller and Koreny 2017, and references 9 therein), supporting the possibility of gene acquisition via phagotrophy. All SAHNTO species are found in tropical and coastal waters (Raghukumar 2002, Harrison et al. 2011, Watts et al. 2011, 10 11 Larsen and Patterson 1990, Honda et al. 1998, Booth and Miller 1969, Dellero et al. 2018), indicating that the donor lineage(s) likely inhabited the same environment(s). 12

Alternatively, the circulation and integration of (apo)carotenoid biosynthesis genes may 13 have been facilitated by giant viruses. Two Mimiviridae giant viruses (nucleocytoplasmic large 14 15 dsDNA viruses; NCLDVs) that infect choanoflagellates (ChoanoV1 and ChoanoV2) have  $\beta$ -16 carotene 15-15' oxygenase (*blh*), phytoene synthase (*crtB*), lycopene cyclase (*crtY*; PF05834; not crtYc/d), and phytoene desaturase (crtl), adjacent to one another (Needham et al. 2019). 17 18 Phylogenetic analyses of the ChoanoVirus (apo)carotenoid biosynthetic pathway indicated possible prokaryotic origins and different sister groups for each gene (Needham et al. 19 20 2019). These ChoanoViruses also carry genes for three type-I rhodopsins (photoreceptive 21 membrane proteins), suggesting that rhodopsin-based photoheterotrophy may play an important 22 role in host-virus interactions (Needham et al. 2019). It is possible that an undiscovered virus 23 with a similar gene cluster may have been involved in the distribution of the crtIBY and blh

24 genes in SAHNTO.

25 Further investigation into the possible link between acquisition of opsin proteins and crt/BY and blh genes (either facilitated by the viral opsin-chromophore (rhodopsin-retinal) or 26 27 otherwise) is warranted. All SAHNTO species possess putative opsin apoproteins. For example, 28 the O. marina genome contains over 40 rhodopsin genes; Slamovits et al. (2011) concluded that 29 dinoflagellates acquired proteorhodopsins through at least two independent HGT events from 30 bacteria because one O. marina opsin clade groups exclusively with halobacteria and 31 cryptophytes whereas an additional opsin clade groups with fungi. The clustering of O. marina, 32 halobacteria, and cryptophyte type-I rhodopsins has been seen in other phylogenies (e.g.,

(2007) found approximately one-third of proteorhodopsin-containing environmental genomic fragments also contain a linked set of retinal biosynthesis genes (crtB, crtI, crtYc/d, blh). More work is needed to infer the evolutionary history of rhodopsins in relation to carotenoid Our ability to distinguish between different HGT scenarios for the evolution of (apo)carotenogenesis in SAHNTO is presently limited by uncertainty surrounding the timing of the events, large and variable divergence times, and various curious observations gleaned from

11 the phylogenies. For instance, several cryptophytes possess both an ABA-derived and phototroph-related CrtI and contain apparently redundant lycopene cyclases (both CrtYc/d and 12 CrtY) and redundant  $\beta$ -carotene 15-15' oxygenases (both Blh and Rpe65), suggesting a role for 13 secondary plastid endosymbiosis in the spread of carotenoid biosynthesis within eukaryotic 14 evolution. It is possible that genome-wide scans of the thraustochytrids for HGT will provide 15 insight into the frequency and potential donors of HGT in their evolution. Finally, although 16 unlikely, it is conceivable that the common ancestor of extant eukaryotes had a crtB, crtl, 17 crtYc/d, blh biosynthetic gene cluster that underwent independent loss in a massive number of 18 19 lineages, as well as gene-order rearrangements, duplications, losses, and replacements in other 20 lineages.

Pinhassi et al. 2016) and includes T. trahens rhodopsins. This suggests that O. marina, T.

associated with the transfer of the (apo)carotenoid biosynthetic pathway. McCarren and Delong

trahens, cryptophytes, and halobacteria share a related rhodopsin, which could also be

#### 21 Conclusions

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biosynthesis.

22 We have shown that experimental disruption of *crtIBY* in *Aurantiochytrium limacinum* ATCC MYA-1381 results in a carotenoid-less phenotype. These crt/BY knockout, non-23 24 carotenogenic A. limacinum strains provide an important foundation upon which to investigate the physiological function and contribution of carotenoid biosynthesis to heterotrophic eukaryotic 25 26 cells. Our phylogenies of CrtIBY domains and Blh ( $\beta$ -carotene 15-15' oxygenase) reveal a 27 taxonomically diverse cluster (SAHNTO) that includes the thraustochytrids A. limacinum, S. 28 aggregatum, H. fermentalgiana, the dinoflagellates O. marina and N. scintillans, and the 29 apusomonad T. trahens (the SAHNTO taxa). The sources of the (apo)carotenogenic genetic 30 constituents are from within Actinobacteria, Bacteroidetes, and Archaea lineages, although the 31 four domains each have different affinities. Consistently strong support of a taxonomically 32 disjunct SAHNTO cluster in CrtIBY and Blh phylogenies strongly suggests a case of parallel

- 1 evolution of (apo)carotenogenesis by repeated HGT from a similar or the same donor. Yet this
- 2 phenotype homogenization may reflect the ability of the same solution to address different
- 3 selective pressures: to accumulate carotenoids and/ or produce an endogenous supply of
- 4 retinal. Our results underscore the fact that HGT is a source of phenotypic and biochemical
- 5 novelty in eukaryotes and that repeated HGT across divergent eukaryotic lineages enabled
- 6 parallel evolution of (apo)carotenoid biosynthesis in heterotrophic protists.

## 7 Materials and Methods

- 8 crtIBY inactivation
- 9 Cell culture strain
- 10 Aurantiochytrium limacinum ATCC MYA-1381, deposited by T. Nakahara, was obtained
- 11 from ATCC. This strain was originally isolated from seawater in a mangrove area of Colonia,
- 12 Yap Islands, Micronesia (<u>https://www.atcc.org/products/all/MYA-1381.aspx</u>).

## 13 Plasmid construction

Primers optimized for In-fusion HD Cloning Plus (Clontech) (**Table S1**) were used to amplify two 2 kb *crtlBY* (PID: 150841; 1329 amino acids, referred to as Aurli\_150841 hereafter) arms of homology (**Figure S1A**) from *A. limacinum* genomic DNA. In-fusion cloning was performed twice successively to flank an *A. limacinum*-specific zeocin resistance cassette in the pUC19\_GZG backbone (Addgene Plasmid 117226) (Faktorová et al. 2020) resulting in the Aurli\_150841\_GZG inactivation plasmid (Addgene Plasmid 162563) (**Figure S1B**).

20 Electroporation and transformant stability

Aurantiochytrium limacinum ATCC MYA-1381 cells were pre-cultured overnight in 5 ml
 GPY media (**Table S2**) in a 15 ml glass tube, subsequently inoculated into a 250 ml flask of 15
 ml GPY media, and grown at 28°C, 170 rpm for 48 hours. Cell preparation, electroporation,
 outgrowth and plating were performed as outlined on protocols.io

- 25 (dx.doi.org/10.17504/protocols.io.qjcduiw). We used AvrII (New England Biolabs (NEB)) to
- digest Aurli\_150841\_GZG, which was then column purified (QIAquick PCR Purification Kit,
- 27 QIAGEN). Electroporation of  $1 \times 10^8$  cells with 10 µg of cut plasmid was performed in 2mm
- 28 cuvettes on the Bio-Rad Gene Pulser Electroporator (Model 165-2076). Following

- electroporation, 1 ml of GPYS media (**Table S2**) was added and cells were incubated at 28°C
- 2 (no shaking) for 1 hour and then plated on GPYS agar media with 100  $\mu$ g/ml zeocin.
- 3 Visual screening for loss of pigmentation was used to identify putative Aurli\_150841
- 4 knockout colonies, which were transferred and re-streaked serially three times onto plates with
- 5 zeocin-containing media, three times onto plates without zeocin in the media, and then again
- 6 onto plates with zeocin containing medium to confirm *shble* retention (data not shown).
- 7 Genomic DNA extraction, PCR and Southern blotting
- 8 Genomic DNA was extracted according to a protocol that was based on Lippmeier et al.
- 9 (2009) as outlined on protocols.io (<u>https://dx.doi.org/10.17504/protocols.io.n83dhyn</u>).
- 10 LongAmp® *Taq* DNA Polymerase (NEB) was used with primers targeting the knockout region to
- identify *shble* integration into Aurli\_150841 (150841\_ORF\_F and 150841\_ORF\_R; **Table S1**).

To perform a Southern blot, 2 µg of wild-type and putative knockout genomic DNA that were double digested with *Ndel* and *Hind*III were loaded on a 0.8% agarose gel and allowed to run for six hours at ~40 V. Transfer, hybridization, wash, and detection were performed as indicated by the manufacturer (Roche) using a *shble* digoxigenin-labeled probe synthesized via PCR using PCR DIG Probe Synthesis Kit (Roche).

- 17 Nanopore sequencing
- 18 DNA extraction

Wild-type A. *limacinum* ATCC MYA-1381 and putative knockout isolates 32 and 33
(referred to as KO32 and KO33, respectively) were cultured for three days in 50 ml 790 By+
(**Table S2**). Genomic DNA was extracted as described above. The precipitated DNA was left to
dissolve in water by spontaneous diffusion for 48+ hours at room temperature to avoid shearing
and subsequently purified using QIAGEN Genomic-tip 20/G.

Agarose gel electrophoresis (1%) was used to visually assess and confirm the integrity of high molecular weight (20+ kbp) DNA. DNA quality was evaluated using a NanoPhotometer P360 (Implen) to measure A260/280 (~1.8) and A260/230 (2.0-2.2) ratios. The quantity of DNA was calculated using a Qubit 2.0 Fluorometer (ThermoFisher Scientific) with the dsDNA broad range assay kit.

## 1 MinION library preparation and sequencing

A multiplexed sequencing library for the wild-type and putative knockouts was prepared
using the Oxford Nanopore Technology (ONT) ligation sequencing kit (SQK-LSK109) and the
PCR-free native barcoding expansion kit 1-12 (EXP-NBD103) according to the ONT protocol
"1D Native barcoding genomic DNA with EXP-NBD103 and SQK-LSK109" (version
NBE\_9065\_v109\_revB\_23May2018). The protocol modifications described below were made to
optimize ligation steps and the retention of longer DNA fragments. Approximately 2 µg of
purified genomic DNA per sample were used as input.

9 Unfragmented genomic DNA for the wild-type and putative knockouts was repaired using the NEBNext FFPE DNA repair module (NEB cat. no. M6630) and prepared for adapter 10 11 ligation using the NEBNext End repair/dA-tailing module (NEB cat. no. E7546) with incubations at 20°C and 65°C for 10 minutes each. The DNA repaired/end-prepped samples were purified 12 13 with a 1:1 volume of AMPure XP beads (Beckman Coulter), and subjected to an incubation at room temperature for 10 minutes; the pelleted beads were subsequently washed twice with 80% 14 ethanol. The DNA was eluted off the beads in 25 µl nuclease free water for 10 minutes at 37°C 15 to encourage the elution of long molecules from the beads. The native barcodes NB07, NB08, 16 17 and NB09 were ligated to the WT, KO32, and KO33 repaired/end-prepped DNA samples, 18 respectively, using a one-hour incubation at room temperature. Each native barcoded sample 19 was pooled in approximately equimolar amounts (~1.3 µg each). The 1D barcode sequencing 20 adapters (BAM 1D) were then ligated to the pooled and barcoded DNA in a 1.36x scaled ligation reaction and incubated for one hour at 25°C. The adapter ligated DNA was purified by a 0.4x 21 22 AMPure XP bead clean-up including a 10-minute incubation at room temperature and two washes using the Long Fragment Buffer mix to enrich for DNA fragments >3 kbp. The final 23 adapter ligated library was incubated in 15 µl Elution Buffer for 10 minutes at 37°C. A total of 1.2 24 µg of prepared library was loaded on a single MinION R9.4.1 chemistry SpotON flow cell (FLO-25 MIN106) and sequenced via ONT's MinKNOW software (v2.1.12) without live basecalling. The 26 27 raw fast5 MinION data been deposited in the NCBI SRA database BioProject PRJNA680238 WT accession: SRR13108467; KO32 accession: SRR13108466; KO33 accession: 28 SRR13108465). 29

## 30 MinION data processing

Binning of the raw reads was performed in real time using Deepbinner v0.2.0 (<u>https://github.com/rrwick/Deepbinner</u>) and the demultiplexed fast5 files were subsequently 1 basecalled using Albacore v2.3.1 (<u>https://nanoporetech.com/</u>). Only fastq sequences assigned

2 to barcodes NB07, NB08 and NB09 were used for further analysis and the unsorted or miss-

3 assigned files were disregarded. Adapters were removed by Porechop v0.2.3

4 (<u>https://github.com/rrwick/Porechop</u>). The resulting data were used for preliminary genome

5 assembly by Canu v1.7.1 (https://github.com/marbl/canu) with parameters adjusted to the

6 expected genome size of 60 Mbp. The resulting consensus sequence was improved by

7 Nanopolish v0.10.1 (https://github.com/jts/nanopolish), resulting in finalized de novo genome

8 assemblies for wild-type and both KO mutants. For wild-type, the genome assembly totaled 61.9

9 Mbp in 55 contigs. The genomes of KO mutants 32 and 33 both assembled as 62.5 Mbp into 50

and 47 contigs, respectively. The transgene insertion sites were localized to particular contigs in

11 mutants 32 and 33 by BLAST (Altschul et al. 1990) using the shble gene as a query and its wild-

12 type structure was determined using global alignment by Mauve (Darling et al. 2004) and local

alignment by MAFFT (Katoh and Stanley 2004).

Additionally, sequencing summary files produced by Albacore were used to assess sequencing data quality by Nanoplot v1.0.0 (<u>https://github.com/wdecoster/NanoPlot</u>). These summaries, as well as genome assembly details are available in **Table S3**.

17 Carotenoid extraction and quantification

From 235 hour-cultures grown in GPY, 1.5 ml of cells (ranging in mass between 74 mg 18 and 80 mg) was pelleted. To each tube, 250 mg of 0.5 mm glass beads and 1 ml of 100% 19 20 acetone was added, vortexed for 30 minutes, and then centrifuged for 15 minutes at 4000 rpm, at room temperature. The absorbance of the supernatant was measured by spectrophotometry 21 22 (every half nanometer from 400 - 800 nm). Spectra were zeroed at 600 nm. The absorbance 23 value at 454 nm, the extinction coefficient of  $\beta$ -carotene in acetone (134 x 10<sup>3</sup> mol<sup>-1</sup>cm<sup>-1</sup>), and 24 the molar mass of  $\beta$ -carotene (536.88 g/mol) were used in the conversion of absorbance to 25 pigment mass (mg/g wet cell biomass).

26 Knockout and wild-type growth curves

Wild-type and knockout 32 were precultured in 5 ml GPY or 790 By+ media with 100
µg/ml ampicillin, incubated at 28°C, 170 rpm overnight and subsequently inoculated into 45 ml
GPY or 790 By+ (50 ml total starting volume) with 100 µg/ml ampicillin. Optical density (OD<sub>600</sub>)
was measured using an Infinite 200 PRO plate reader (Tecan) at 595 nm for 90 hours by
removing 500 µl of culture and loading triplicate wells with 150 µl each.

## 1 Phylogenetics

#### 2 Comparative database construction

3 A database of 36,866,870 predicted proteins representing 4,351 unique species from 117 phyla (Table S4) was constructed using the UniProt Reference Proteome (RP) at the 35% 4 5 co-membership threshold including 4,295 Representative Proteome Groups (RPGs) (Chen et al. 6 2011) in addition to all taxonomically identifiable transcriptomes of the Marine Microbial 7 Eukaryote Transcriptome Sequencing Project (MMETSP) (Keeling et al. 2014) that were 8 processed through WinstonCleaner (https://github.com/kolecko007/WinstonCleaner). The 9 database also included proteins inferred from the annotated and assembled genomes of Aurantiochytrium limacinum ATCC MYA-1381, Schizochytrium aggregatum ATCC 28209, and 10 Aplanochytrium kerguelensis PBS07 from the U.S. Department of Energy's Joint Genome 11 Institute (JGI), all PFAM PF00494 Aurantiochytrium sp. KH105 proteome hits from the Okinawa 12 Institute of Science and Technology Marine Genomics Unit genome browser, all of UniProt's 13 annotated Hondaea fermentalgiana proteins, and the annotated proteins of the breviate Lenisia 14 15 limosa and associated mutualistic epibionts (Hamann et al. 2016).

#### 16 Phylogenetic analyses

The corresponding protein families of CrtIBY domains were identified by the National Center for Biotechnology Information (NCBI) Conserved Domain Database (CDD). The associated hidden Markov models (HMM) (**Table S5**) were used in conjunction with HMMER's (3.3; <u>hmmer.org/</u>) hmmsearch to extract conserved domains from our custom comparative database. Resulting amino acid sequences were assigned and parsed according to orthologous groups (OGs) by OrthoMCL (**Table S6**) (Chen et al. 2006) and R version 3.4.4 (R Core Team 2017).

24 Sequences longer or shorter than one standard deviation from the median length of the sequences within each orthologous group of interest were removed. Incomplete or split protein 25 26 sequences (duplicate pairs) originally selected by hmmsearch were addressed by using 27 fastafetch to retrieve the entire protein sequence and were subsequently aligned with hmmalign 28 (--trim). Intermediate phylogenetic trees were made using FastTree version 2.1.9 SSE3 (Price et 29 al. 2010) and dereplicated at a desired taxonomic level using a custom script (available on 30 protocols.io: https://www.protocols.io/view/phylogenetic-tree-de-replication-script-j42cqye). The 31 R script uses the original hmmsearch output and phytools 0.6-44 (Revell 2012) to identify and

drop sisters with matching taxa, retaining the one with the higher bit score. The remaining
sequences were again aligned (hmmalign) and a second intermediate phylogeny (FastTree)
was made and again dereplicated (the second dereplication was omitted in *crtYc/d* and *blh*phylogenies due to the already relatively small number of sequences). Sequences from the

- 5 second (or first for *crtYc/d* and *blh*) dereplication were aligned using MAFFT (Katoh and Stanley
- 6 2004) and positions where 99 or 90% of sequences contained a gap were removed (alternate
- 7 alignments were evaluated: see **Supplementary Material**). Final maximum likelihood (ML)
- 8 trees were inferred using IQ-TREE v. 1.6.6 (Nguyen et al. 2015). The best fitting model (Yang
- 9 1995; Soubrier et al. 2012) was selected following the Akaike information criterion and the
- 10 Bayesian information criterion for each phylogeny (**Table S5**). The Shimodaira–Hasegawa
- 11 approximate likelihood ratio test (SH-aLRT) and ultrafast bootstrap were calculated from 1000
- 12 replicates. Phylogenies were midpoint rooted using phangorn (Schleip 2010) and visualized
- using ggtree (Yu 2017) in R (version 4.1.1) (R Core Team 2017).

## 14 Data availability

- 15 The database used in the phylogenetic analyses is available at Academic Commons:
- 16 https://commons.library.stonybrook.edu/somasdata/15. The output from HMM, OrthoMCL, and
- 17 dereplication are available with the alignments, trees, and code on
- 18 <u>https://github.com/marianarius/carotenoidbiosynthesis/tree/master.</u> The resulting protein
- 19 sequence accession IDs and their corresponding taxonomic classifications are provided for
- 20 each investigated carotenogenic protein in the Supplementary Material (.xlsx).

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1 high-performance SeaWulf computing system, which was supported by the National Science

- 2 Foundation (#1531492).
- 3

## 4 Figure Captions

- 5 Fig. 1.  $\beta$ -carotene biosynthesis is accomplished by orthologous enzymes across taxa. (A)
- 6 Generalized flow-chart of the isoprenoid, sterol, and carotenoid biosynthesis pathways.
- 7 Enzymatic coloration corresponds to orthologs outlined in Figure1B. (B) Orthologous
- 8 carotenoid biosynthesis genes in non-photosynthetic and photosynthetic organisms (expanded
- 9 from Alcaíno et al. 2016 and Sandmann 2001). Genes that are orthologous are shaded

similarly; fusion genes are indicated by concatenation (e.g., *crtIBY* is a fusion gene of *crtI*, *crtB*,

11 and crtYc/d).

12 **Fig. 2.** Inactivation of *crtIBY* in *A. limacinum.* (A) Agar plate streaks of wild-type (WT) with

- 13 natural carotenogenic pigmentation (orange) relative to the two pigment-less (white) crt/BY
- 14 knockouts (KO; 32 and 33). (B) Genome maps generated from nanopore sequencing of the
- 15 wild-type A. limacinum (WT) and two knockouts (32 and 33) reveal an intact crt/BY locus in WT

16 and disrupted, yet successful integration of *shble* at the *crtIBY* open reading frame (ORF) in the

17 two KOs. Sequences indicate a double homologous recombination event having occurred in 32,

18 while a triple tandem repeat integration event occurred in 33. Both integration events resulted in

- 19 a non-functional form of the CrtIBY protein.
- 20 **Fig. 3.** Phylogenetic distribution and gene organization of carotenoid biosynthetic proteins
- across the eukaryotic tree. (A) Schematic of eukaryotic diversity, modified from Charon et al.

22 (2020). Stars (\*) indicate eukaryotes containing crtB, crtl, crtYc/d, blh genes in the

thraustochytrids A. limacinum, Schizochytrium aggregatum, Hondaea fermentalgiana

24 (Stramenopiles, *Thraustochytriaceae*); the dinoflagellates *Noctiluca scintillans* and *Oxyrrhis* 

25 marina (Alveolates, Dinophyceae); and the apusomonad Thecamonas trahens

26 (Apusomonadidae). (B) Protein domain organization diagrams (N- to C-terminus) with NCBI

27 Conserved Domain (CDD; domain shading reflects NCBI database): CrtB – phytoene synthase

- 28 (Isoprenoid\_Biosyn\_C1 superfamily; yellow/ gold), Crtl phytoene desaturase (crtl\_fam; light
- 29 purple), CrtYc/d lycopene cyclase (CarR\_dom\_SF; green), Blh β-carotene oxygenase (BCD
- 30 superfamily; red), and CrtY phototrophic lycopene cyclase (carotene-cycl; light blue). A CrtI,
- 31 CrtB, CrtYc/d trifunctional multi-domain protein is found in the thraustochytrids: Schizochytrium

1 aggregatum (Schag101501), A. limacinum (Aurli 150841), Hondaea fermentalgiana (re-2 annotated A0A2R5GF32; see Supplementary Material); whereas a Crtl, CrtB, CrtYc/d, Blh 3 guadrifunctional multi-domain protein is found in Thecamonas trahens (XP 013761525.1). Two bifunctional multi-domain proteins are found in Noctiluca scintillans as CrtB, CrtYc/d and CrtI, 4 CrtYc/d (CAMPEP0194550082 and CAMPEP0194488352, respectively), whereas in Oxyrrhis 5 marina CrtB, CrtI, Blh, and CrtY (phototrophic lycopene cyclase) are found as four single-6 7 domain proteins (CAMPEP0205054184, CAMPEP0204966166, CAMPEP0205060456, and 8 CAMPEP0204311066, respectively). Protein lengths in amino acids (aa) are included for

9 reference.

10 Fig. 4. The carotenoid biosynthesis domains from a set of diverse, taxonomically distant

11 eukaryotes called SAHNTO (S. aggregatum, A. limacinum, H. fermentalgiana, N. scintillans, T.

12 trahens, and O. marina) group together (\*) in phylogenies of (A) CrtB/ CrtM/ HpnD, (B) CrtI/

13 CrtH/ Z-ISO/ CrtISO, (C) CrtYc/d, and (D) Blh. For all phylogenies, domain sequences were

14 aligned with MAFFT, retaining positions where less than 90-99% of sequences contained gaps

15 (see **Supplementary Material**). Maximum likelihood phylogenies were estimated in IQ-TREE

using the best fit model (**Table S5**). The CrtB/ CrtM/ HpnD phylogeny was truncated to remove

17 HpnD sequences. Taxa and node support in the red boxes are magnified in **Figure 5**, and for

complete trees see **Figures S4, S5, S6, S8**. Scale bars indicate the inferred number of amino

19 acid substitutions per site.

Fig. 5. Phylogenetic structure surrounding SAHNTO clusters, including taxa and node support of SAHNTO (\*) sisters in carotenoid biosynthesis phylogenies: (A) CrtB/ CrtM/ HpnD, (B) CrtI/ CrtH/ Z-ISO/ CrtISO, (C) CrtYc/d, and (D) Blh. Values indicate the results of the Shimodaira– Hasegawa approximate likelihood ratio test (SH-aLRT) and an ultrafast bootstrap analysis of 1000 replicates, respectively. For complete trees see Figures S4, S5, S6, S8. Scale bars indicate the inferred number of amino acid substitutions per site.

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